



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

Inventor: Mark A. HAMM et al.

Serial No.: 10/039,627

Filed: October 24, 2001

For: OPTICAL CATHETER  
CONNECTOR

Group Art Unit: Unknown

Examiner: Not yet assigned

385/625

TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on February 5, 2002, enclosed are:

- Declaration
- Power of Attorney:  Separate or  Combined with Declaration
- Assignment of the invention to SCIMED LIFE SYSTEMS, INC. (together with PTO Form 1595) and Statement Under 37 CFR 3.73(b)

04/26/2002 BABRAHA1 00000067 10039627

01 FC:115 OC-108035.1

110.00 DP

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Karen Johnson

Name of Person Mailing Paper

*Karen Johnson*

Signature of Person Mailing Paper

April 16, 2002  
Date of Deposit

BEST AVAILABLE COPY

- A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

**II. REQUEST FOR EXTENSION OF TIME:**

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input checked="" type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$200.00	\$400.00
<input type="checkbox"/> three months	\$460.00	\$920.00
<input type="checkbox"/> four months	\$720.00	\$1,440.00
<input type="checkbox"/> five months	\$980.00	\$1,960.00
	<b>Fee</b>	<b>\$110.00</b>

- If any extension fee is required, please consider this a petition therefor.

**III. FILING FEES**

- Applicant claims small entity status pursuant to 37 CFR 1.27.

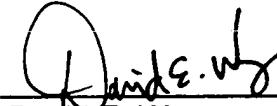
<b>BASIC FILING FEE:</b>						\$740.00					
Total Claims	33	-	20	=	13	x \$18.00	\$234.00				
Independent Claims	5	-	3	=	2	x \$84.00	\$168.00				
Multiple Dependent Claims	\$280	(if applicable)				<input type="checkbox"/>	\$0.00				
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)				<input checked="" type="checkbox"/>	\$130.00				
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$1,272.00					
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.						<input type="checkbox"/>	\$0.00				
Extension of Time (from above)							\$110.00				
Assignment -- \$40 (if applicable)						<input checked="" type="checkbox"/>	\$40.00				
<b>TOTAL FEES SUBMITTED HEREWITH</b>							\$1,422.00				

**IV. METHOD OF PAYMENT OF FEES:**

- A check in the amount of \$1,422.00 is enclosed to cover the above fee(s).
- Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \_\_\_\_\_.
- The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

By: 

David E. Wang  
Reg. No. 38,358



**22249**

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
(949) 567-2300

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## UNITED STATES PATENT AND TRADEMARK OFFICE

HJ

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/039,627	10/24/2001	Mark A. Hamm	265/221

O I P E  
APR 25 2002

PATENT & TRADEMARK OFFICE  
222 249

YON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071

CONFIRMATION NO. 2532

FORMALITIES LETTER



\*OC00000007422820\*

Date Mailed: 02/05/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/26/2002 BABRAHA1 00000065 10039627

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	234.00 OP
04 FC:102	168.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$402.
  - \$234 for 13 total claims over 20.
  - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1272.

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*A copy of this notice MUST be returned with the reply.*

*Tseyerehn*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE